CONFIDENTIAL

Compliance History Report

This report has been prepared to assist the *Buy Queensland* Audit Team from the Department of Energy and Public Works in reviewing the compliance of the Managing Contractor (MC) to their contracted Best Practice Principles (BPP) as they relate to their work on the [project name] a BPP project.

The report includes information on incidents and complaints notified to Workplace Health and Safety Queensland (WHSQ) and the Electrical Safety Office (ESO), and enforcement action undertaken.

The intent of this report is to provide statistical data pertaining to the compliance record of the contractor with respect to obligations under both the *Work Health and Safety Act 2011* and the *Electrical Safety Act 2002*, which are administered by the Office of Industrial Relations.

1. Managing Contractor

|  |  |  |  |
| --- | --- | --- | --- |
| **Managing Contractor (MC)** |  | **ABN/ACN** |  |

1. Project Details

|  |  |  |  |
| --- | --- | --- | --- |
| **Project name** |  | **Physical location** |  |
|  |  |
| **Description** |  | | |

1. Summary

|  |  |  |  |
| --- | --- | --- | --- |
| **Period of Review** *(From Commencement date of work on this project by the MC)* |  | | |
| **Information access mechanism** | Choose an item. | **Clause number**  *(If applicable)* |  |

1. Data extracted from Office of Industrial Relations’

Compliance Investigation System (CISr)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Date** | Click or tap to enter a date. | | | | | |
| **Legal entity[[1]](#footnote-2)** | **Supplier Name** | | | | **ACN/ABN** | |
|  | | | |  | |
| **Events notified to the OIR** | **Total number of complaints[[2]](#footnote-3)** | |  | | | |
| **Total number of incidents[[3]](#footnote-4)** | |  | | | |
| **Fatalities** | **Hospitalisations** | | **Injury/dangerous events/other** | | **Electrical Events** |
|  |  | |  | |  |
| **Enforcement Notices Issued[[4]](#footnote-5)** |  | | | | | |
| **Number of investigations** | **Closed** | | | **Ongoing** | | |
|  | | |  | | |
| **Number of prosecutions** |  | | | | | |
| **Number of enforceable undertakings** |  | | | | | |
| **Number of improvement notices** |  | | | | | |

1. Notices complied with

| **Notice Number** | **Notice Type** | **Category** | **Detail** |
| --- | --- | --- | --- |
|  | Improvement |  |  |
|  | Choose an item. |  |  |
|  | Choose an item. |  |  |
|  | Choose an item. |  |  |
|  | Choose an item. |  |  |

1. Notices not complied with

| **Notice Number** | **Notice Type** | **Category** | **Detail** |
| --- | --- | --- | --- |
|  | Choose an item. |  |  |
|  | Choose an item. |  |  |
|  | Choose an item. |  |  |
|  | Choose an item. |  |  |
|  | Choose an item. |  |  |

1. Investigations

|  |
| --- |
|  |

1. Prosecutions

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| --- |
|  |

1. Enforceable Undertakings

|  |
| --- |
|  |

1. WHS Regulator Assessment Against Section 4 of ESM

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|  |

1. The legal entity records in CISr are derived from information provided by notifiers (members of the public). The legal entities listed above may not be truly valid *legal* entities. All the listed legal entities have been included in this report, as OIR is unable to accurately attribute any given incoming event notification to a particular ‘branch’ or ‘division’ of an entity’s enterprise. [↑](#footnote-ref-2)
2. Complaints are predominantly about health and safety conditions at a workplace. A high number of complaints may be indicative of a poor workplace safety culture. [↑](#footnote-ref-3)
3. Some injuries and dangerous workplace events are notifiable under the legislation. A high number of incidents may be indicative of poor workplace health and safety compliance. [↑](#footnote-ref-4)
4. The Compliance Monitoring and Enforcement Policy was implemented during 2018-19. As a result, there is a stronger focus on enforcement action which may have contributed to any increase in notices from 2018-19. [↑](#footnote-ref-5)